



2176 #9  
151  
3-21-03

PATENT  
Docket No. 509952000100

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Commissioner for Patents, Washington, D.C. 20231, on March 10, 2003.

*Nita Miller*  
Nita Miller

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Edward Y. CHANG et al.

Serial No.: 10/032,319

Filing Date: December 21, 2001

For: MAXIMIZING EXPECTED  
GENERALIZATION FOR LEARNING  
COMPLEX QUERY CONCEPTS

Examiner: To Be Assigned

Group Art Unit: 2176

Confirmation No.: 4669

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Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The document listed on the attached Form PTO-1449 were cited in a Search Report of February 12, 2003 (copy attached), directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of \* is enclosed.

- ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein. Four out of five references are already of record.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

No fee is due with this communication, however, if any fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 03-1952, referencing Docket No. 50995-20001.00.

Respectfully submitted,

Dated: March 10, 2003

By: 

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